

Policy Area	No.	Decision	Board	Board Committee or System Board	Executive Officer / Chair	Other specified statutory bodies	Provider Collaborative
ICB APPOINTMENTS & SUCCESSION	AS1	Exercise the functions of the ICB relating to paragraphs 17 to 19 of Schedule 1B of the NHS Act 2006, by confirming the ICB Pay Policy including the adoption of any pay frameworks for all employees including senior managers/directors (including board members) and non-executive members, excluding the Chair		Remuneration and Culture Committee			
ICB APPOINTMENTS & SUCCESSION	AS2	Approve arrangements for identifying the ICB's proposed Chief Executive		Remuneration and Culture Committee			
ICB APPOINTMENTS & SUCCESSION	AS3	Appointment of the Chair.				NHS England	
ICB APPOINTMENTS & SUCCESSION	AS4	Appointment of the Chief Executive			Chair		
ICB APPOINTMENTS & SUCCESSION	AS5	Appointment of the Deputy Chair (NEM)			Chair		
ICB APPOINTMENTS & SUCCESSION	AS6	Appointment of the Non-Executive Members of the ICB.			Chair		
ICB APPOINTMENTS & SUCCESSION	AS7	Appointment of Executive Members of the ICB			Chief Executive		
ICB APPOINTMENTS & SUCCESSION	AS8	Appointment of the Partner Members of the ICB.			Chair		
COMMISSIONING OF HEALTH AND CARE	CH1	Approval of the arrangements for discharging the ICB's statutory duties associated with its commissioning functions, including obtaining appropriate advice and public engagement and consultation.	√				
COMMISSIONING OF HEALTH AND CARE	CH2	Approval of service development or discontinuance as set out in the detailed financial scheme of delegation	√				
COMMISSIONING OF HEALTH AND CARE	CH3	Approval of service specifications and methods of securing services.	√				
COMMISSIONING OF HEALTH AND CARE	CH4	Commissioning of Primary Medical Services as delegated from NHS England.		Primary Care and POD Portfolio Board			
COMMISSIONING OF HEALTH AND CARE	CH5	Commissioning of Pharmacy, Optometry and Dental services (POD) as delegated from NHS England		Primary Care and POD Portfolio Board			
COMMISSIONING OF HEALTH AND CARE	CH6	Commissioning of Highly Specialised Services				South East ICBs Joint Committee for Specialised Commissioning	

COMMISSIONING OF HEALTH AND CARE	CH7	Better Care Fund	√				
COMPLIANCE	C1	Approval of the arrangements for all aspects of Information Governance including ensuring appropriate safekeeping and confidentiality of records and for the storage, management and transfer of information and data		Audit Committee			
COMPLIANCE	C2	Approval of the ICB's Annual Report and Annual Accounts.	√				
COMPLIANCE	C3	Approving a timetable for producing the annual report and accounts		Audit Committee			
COMPLIANCE	C4	Preparation of the ICB's Annual Report and Annual Accounts with adherence to the directions from NHS England in relation to accounts preparation			Chief Finance Officer		
COMPLIANCE	C5	Review and recommend to the Board the Annual Report and Annual Accounts prior to submission to the NHSE.		Audit Committee			
COMPLIANCE	C6	Signing of sections of the Annual Report and Accounts on behalf of the ICB (as specified in the DH Manual)			Chief Executive		
COMPLIANCE	C7	Receive the annual management letter from external auditors and agreement of proposed action, taking account of advice, where appropriate, of the Audit Committee	√				
COMPLIANCE	C8	Receive an annual report from the internal auditor and agree proposed action, taking account of the advice, where appropriate, from the CFO		Audit Committee			
COMPLIANCE	C9	Approve the proposals for managing conflicts of interest and declarations of hospitality and gifts		Audit Committee			
COMPLIANCE	C10	Approve the ICB's arrangements for handling complaints.			Chief Executive		
COMPLIANCE	C11	Determining and Approving arrangements for handling Freedom of Information requests.			Chief Executive		
FINANCE AND AUDIT	FA1	Approval of Financial Allocations	√				
FINANCE AND AUDIT	FA2	Approval and monitoring of the arrangements for discharging the ICB's statutory financial duties.		Finance and Performance Committee			
FINANCE AND AUDIT	FA3	Ensuring that the allocated annual revenue and capital resource limits are not exceeded, jointly, with system partners;		Finance and Performance Committee			

FINANCE AND AUDIT	FA4	Approval of the ICB's corporate budgets			Chief Executive and Chief Finance Officer		
FINANCE AND AUDIT	FA5	Approval of variations to the budget where variation would have a significant impact on the overall approved levels of income and expenditure or the ICB's ability to achieve its agreed strategic aims.			Finance and Performance Committee		
FINANCE AND AUDIT	FA6	Approval of overspend or reduction of income that cannot be met from virement.			Finance and Performance Committee		
FINANCE AND AUDIT	FA7	Approval of detailed financial policies that constitute the ICB's Standing Financial Instructions.			Audit Committee		
FINANCE AND AUDIT	FA8	Annual approval of the Financial Plan	√				
FINANCE AND AUDIT	FA9	Approval of the appointment (and where necessary dismissal) of internal auditors			Audit Committee		
FINANCE AND AUDIT	FA10	Approval of the appointment (and where necessary dismissal) of external auditors	√				
FINANCE AND AUDIT	FA11	Approval of the internal audit strategy, audit plan and more detailed programme of work.			Audit Committee		
FINANCE AND AUDIT	FA12	Ensuring that there is an effective financial control framework in place to support accurate financial reporting, safeguard assets and minimise risk of financial loss			Audit Committee		
FINANCE AND AUDIT	FA13	Prepare procedures for recording and accounting for losses and special payments and report to the Audit Committee			Chief Finance Officer		

FINANCE AND AUDIT	FA14	Ensuring that there are suitable financial systems in place that enable the system to meet the financial targets set by NHS England		Finance and Performance Committee		
FINANCE AND AUDIT	FA15	Meeting statutory requirements relating to taxation			Chief Finance Officer	
FINANCE AND AUDIT	FA16	Making use of benchmarking to make sure that ICB corporate funds are deployed as effectively as possible;			Chief Finance Officer	
FINANCE AND AUDIT	FA17	Approval of write off of losses (within limits delegated by Department of Health and Social Care).		Finance and Performance Committee		
GENERAL REGULATION & CONTROL	GRC1	Preparation of the ICB's Overarching Scheme Of Reservation And Delegation, which sets out those decisions reserved to the ICB and those delegated			Chief Executive	
GENERAL REGULATION & CONTROL	GRC2	Approval of the ICB's Overarching Scheme Of Reservation And Delegation	√			
GENERAL REGULATION & CONTROL	GRC3	Annually approve the ICB's Detailed Delegated Financial Limits, which sets out the delegation of key financial operational decisions and delegated decision limits; approval of any exceptional in-year changes.		Audit Committee		
GENERAL REGULATION & CONTROL	GRC4	Consider and approve applications to NHS England on any significant matter concerning changes to the ICB's Constitution.	√			
GENERAL REGULATION & CONTROL	GRC5	Approval of changes to the Governance Handbook		Audit Committee		
GENERAL REGULATION & CONTROL	GRC6	Approval of appointments to each of the committees which the ICB has formally constituted.	√			
GENERAL REGULATION & CONTROL	GRC7	Approval of terms of reference and reporting arrangements of all committees and sub-committees that are established by the ICB.	√			
GENERAL REGULATION & CONTROL	GRC8	Approval of suspension of the Standing Orders.	√			
GENERAL REGULATION & CONTROL	GRC9	Review of every decision to suspend Standing Orders.		Audit Committee		
GENERAL REGULATION & CONTROL	GRC10	Ratification or otherwise of instances of failure to comply with Standing Orders.		Audit Committee		
GENERAL REGULATION & CONTROL	GRC11	Ratification or otherwise following instances of failure to comply with Standing Financial Instructions.		Audit Committee		
GENERAL REGULATION & CONTROL	GRC12	Exercising of the powers that the ICB has reserved to itself in an emergency or for an urgent decision.			Chair and Chief Executive	
GENERAL REGULATION & CONTROL	GRC13	Ratification of any urgent decisions taken under the above	√			
GENERAL REGULATION & CONTROL	GRC14	Monitor progress on delivery of the duty of the ICB to act effectively, efficiently and economically.		Audit Committee		

GENERAL REGULATION & CONTROL	GRC15	Approve policy framework and retain oversight of its effective operation, including approval and assurance on the organisation's Risk Management Framework		Audit Committee			
GENERAL REGULATION & CONTROL	GRC16	Approval of all other corporate governance policies including but not limited to the Standards of Business Conduct, Managing Conflicts of Interest Policy, Counter Fraud Policy.		Executive Team			
GENERAL REGULATION & CONTROL	GRC17	Approving and Overseeing the IFR (Individual Funding Request) process and high-cost drugs prescribing process		Commissioning and Population Health Committee			
GENERAL REGULATION & CONTROL	GRC18	Execution of legal documents by signature or seal on behalf of the ICB.			Chief Executive / Chair / Chief Finance Officer		
GENERAL REGULATION & CONTROL	GRC19	Approval and signature of all documents which will be necessary in legal proceedings.			Chief Executive / Chair / Chief Finance Officer		
GENERAL REGULATION & CONTROL	GRC20	Deciding the interpretation of Standing Orders in the event of ambiguity.			Chair		
GENERAL REGULATION & CONTROL	GRC21	Final ruling in questions of order, relevancy and regularity of meetings.			Chair		
GENERAL REGULATION & CONTROL	GRC22	Reviewing the ICB's governance arrangements to ensure that the ICB continues to reflect the principles of good governance (including annual self-assessment and external review at least every three years)		Audit Committee			
OPERATIONAL MANAGEMENT AND RISK	OMR1	Prepare and recommend a detailed financial scheme of delegation that sets out who has responsibility for operational decisions of the ICB.			Chief Finance Officer		
OPERATIONAL MANAGEMENT AND RISK	OMR3	Approve the ICB's counter fraud and security management arrangements.		Audit Committee			
OPERATIONAL MANAGEMENT AND RISK	OMR4	Approve and monitor the risk management programme.		Audit Committee			
OPERATIONAL MANAGEMENT AND RISK	OMR5	Oversight of external audit, internal audit, local counter fraud services and other external assurance functions.		Audit Committee			
OPERATIONAL MANAGEMENT AND RISK	OMR6	Monitor and ensure compliance with Directions issued by the Secretary of State for Health and Social Care on fraud and corruption including the appointment of the Local Counter Fraud Specialist.		Audit Committee			

OPERATIONAL MANAGEMENT AND RISK	OMR7	Decide at what stage to involve police in cases of misappropriation and other irregularities not involving fraud or corruption.			Chair and Chief Executive		
OPERATIONAL MANAGEMENT AND RISK	OMR8	Approve arrangements for risk sharing and or risk pooling with other organisations (for example arrangements for pooled funds with ICBs or pooled budget arrangements under section 75 of the NHS Act 2006).	√				
OPERATIONAL MANAGEMENT AND RISK	OMR9	Approve proposals for action on litigation against or on behalf of the ICB.			Chief Executive		
OPERATIONAL MANAGEMENT AND RISK	OMR10	Agree individual compensation payments.			Chief Executive		
OPERATIONAL MANAGEMENT AND RISK	OMR11	Approve the ICB's arrangements for business continuity and emergency planning.	√				
OPERATIONAL MANAGEMENT AND RISK	OMR12	Approve the ICB's arrangements for managing dispute resolution.			Chief Executive		
OPERATIONAL MANAGEMENT AND RISK	OMR13	Oversee the maintenance and operation of a system risk strategy and a risk register.		Risk Oversight Group			
PARTNERSHIP WORKING	PW1	Approve the decisions to be delegated to joint committees established under the 2006 Act (as amended 2022)	√				
PARTNERSHIP WORKING	PW2	Approve arrangements for co-ordinating the commissioning of services with other ICBs and or with the local authority(ies), where appropriate	√				
PEOPLE MANAGEMENT	PM1	Approve the arrangements for discharging the ICB's statutory duties as an employer.	√				
PEOPLE MANAGEMENT	PM2	Approve the terms and conditions, remuneration and other other allowances for ICB employees, including pensions and performance related pay. With the exception of non-executive members.		Remuneration and Culture Committee			
PEOPLE MANAGEMENT	PM3	Recommend to the Remuneration Committee the terms and conditions of employment for all employees of the ICB, including matters relating to termination of appointment			Chief People Officer		
PEOPLE MANAGEMENT	PM4	Recommend to the Remuneration Committee pensions, remuneration, fees and allowances (including severance packages and employee tribunal settlements) payable to employees and to other persons providing services to the ICB.			Chief People Officer		

PEOPLE MANAGEMENT	PM5	Approve pensions, remuneration, fees and other allowances (including severance packages and employee tribunal settlements) payable to employees and to other persons providing services to the ICB.		Remuneration and Culture Committee			
PEOPLE MANAGEMENT	PM6	Agree severance payments of the CEO and other senior staff		Remuneration and Culture Committee			
PEOPLE MANAGEMENT	PM7	Approve HR Policies		Executive Team			
PEOPLE MANAGEMENT	PM8	Approve interim appointment of permanent employees outside available resources and manpower establishment.			Chief Executive		
PEOPLE MANAGEMENT	PM9	Oversee how the ICB discharges its duties to promote education and training		Executive Team			
PEOPLE MANAGEMENT	PM10	Receive an annual report regarding Organisational Culture, including organisational development plans for the ICB		Remuneration and Culture Committee			
PEOPLE MANAGEMENT	PM11	Receive mandatory equality related reports such as the NHS Workforce Race Equality Standard (WRES) and the NHS Workforce Disability Equality Standard (WDES)		Remuneration and Culture Committee			

QUALITY AND SAFETY	QS1	Monitor the delivery of the duty to secure continuous improvement in the quality of services.		Quality Oversight Committee			
QUALITY AND SAFETY	QS2	Approve arrangements, including supporting policies, to minimise clinical risk, maximise patient safety and secure continuous improvement in quality and patient outcomes across the system.		Quality Oversight Committee			
QUALITY AND SAFETY	QS3	Approve policies and procedures for clinical policies relating to Treatments not Routinely Funded, Assisted Conception and Individual Funding Requests		Quality Oversight Committee			
QUALITY AND SAFETY	QS4	Recommendations from the Medicines Optimisation Board, where decisions have a significant cost impact		Quality Oversight Committee			
QUALITY AND SAFETY	QS5	Oversee performance and quality measures that maintain the effective use of resources and provide value for money. QS18 Determine best performance, quality and value outcomes for the ICB		Finance and Performance Committee / System Quality Committee			
QUALITY AND SAFETY	QS6	Oversee the framework for assurance of service quality provided by constituent primary medical practices and the approach to ensuring continuous improvement.		Quality Oversight Committee			
QUALITY AND SAFETY	QS7	Monitor progress of delivery of assistance and support to NHS England in its duty to improve the quality of primary medical services		Commissioning and Population Health Committee			
QUALITY AND SAFETY	QS8	Ensure progress of delivery of promotion of involvement of patients, carers and representatives in decisions about their healthcare.		Commissioning and Population Health Committee			

QUALITY AND SAFETY	QS9	Monitor progress of delivery of enabling patients to make choices.		Commissioning and Population Health Committee			
QUALITY AND SAFETY	QS10	Monitor promotion of use of research and progress of delivery		Commissioning and Population Health Committee			
QUALITY AND SAFETY	QS11	Monitor progress of delivery of service integration.		System Delivery Committee			
QUALITY AND SAFETY	QS12	Receive and scrutinise independent investigation reports relating to patient safety issues and agree publication plans.		Quality Oversight Committee			
QUALITY AND SAFETY	QS13	Receive assurance of safeguarding children and adults.		Quality Oversight Committee			

QUALITY AND SAFETY	QS14	Oversee fulfilment by the ICB of its statutory duties to reduce inequalities.	✓				
QUALITY AND SAFETY	QS15	Oversee process and compliance issues concerning serious incidents.		Quality Oversight Committee			
QUALITY AND SAFETY	QS16	Prepare proposals (having regard to any guidance by the Secretary of State) for the ICB for practice incentive schemes to improve the quality of primary care			Chief Medical Officer		
QUALITY AND SAFETY	QS17	Approve proposals for the ICB or practice incentive schemes, having regard to guidance by the Secretary of State and the approval of the Remuneration Committee.		Commissioning and Population Health Committee			
QUALITY AND SAFETY	QS18	Determine best performance, quality and value outcomes for the ICB QS5 Oversee performance and quality measures that maintain the effective use of resources and provide value for money.		Finance and Performance Committee / Quality Oversight Committee			

QUALITY AND SAFETY	QS19	Oversee how the ICB secures health services that are provided in a way that promotes awareness of and has regard to, the NHS Constitution.		Commissioning and Population Health Committee			
STRATEGY AND PLANNING	SP1	Approve the ICS long-term Strategic Plan (or equivalent).					ICP
STRATEGY AND PLANNING	SP2	Approve Provider Collaborative Arrangements	√				
STRATEGY AND PLANNING	SP3	Prepare the ICB's long-term Strategic Plan (or equivalent).			Chief System Development and Engagement Officer		
STRATEGY AND PLANNING	SP4	Safeguard the vision, values and overall strategic direction of the ICB.	√				
STRATEGY AND PLANNING	SP5	Approve place based partnership working arrangements-	√				
STRATEGY AND PLANNING	SP6	Approve the ICB's operating model	√				
STRATEGY AND PLANNING	SP7	Approve the ICB's proposed organisational development proposals.	√				

STRATEGY AND PLANNING	SP8	Approve the Annual Operating Plan for the ICB.	√				
STRATEGY AND PLANNING	SP9	Approve budgets to support the delivery of the Annual Operating Plan		Finance and Performance Committee			
TENDERING AND CONTRACTING	TC1	Approval of the ICB's processes for contracting and tendering in line with the detailed financial scheme of delegation		Finance and Performance Committee			
TENDERING AND CONTRACTING	TC2	Approve the outcome of all tendered contracts that are above the limits set out in the detailed scheme of financial delegation	√				
TENDERING AND CONTRACTING	TC3	Ratification of recommendations from Finance and Performance Committee on tendered contracts	√				
TENDERING AND CONTRACTING	TC4	Approval of the discontinuance of any significant contracted activity or operation	√				

TENDERING AND CONTRACTING	TC5	Approval of waiver of formal tendering procedures.			Chief Finance Officer		
TENDERING AND CONTRACTING	TC6	Scrutiny of approved waivers of formal tendering procedures.		Audit Committee			